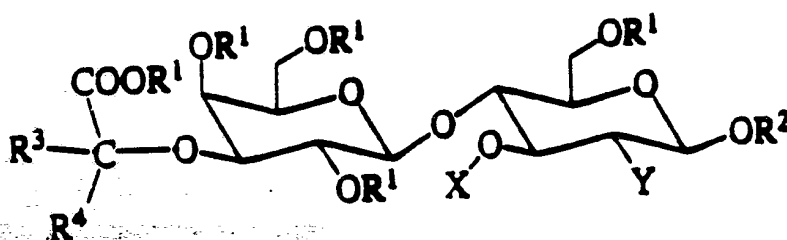




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US92/09870 <b>(22) International Filing Date:</b> 13 November 1992 (13.11.92) <b>(30) Priority data:</b> 800,557 27 November 1991 (27.11.91) US <b>(71) Applicant:</b> GLYCOMED, INC. [US/US]; 860 Atlantic Avenue, Alameda, CA 94501 (US). <b>(72) Inventors:</b> NASHED, Mina ; 600 Central Avenue #3, Alameda, CA 94501 (US). DASGUPTA, Falguni ; 1515 Central Avenue, Alameda, CA 94501 (US). ABBAS, Saeed ; 100 Harwich Place, Vallejo, CA 94591 (US). MUSSER, John ; 602 Marina View Towers, Alameda, CA 94501 (US). ASA, Darwin ; 544 Central Avenue #215, Alameda, CA 94501 (US).		<b>(74) Agents:</b> MURASHIGE, Kate, H. et al.; Morrison & Foerster, 755 Page Mill Road, Palo Alto, CA 94304-1018 (US). <b>(81) Designated States:</b> AU, CA, DK, JP, NO, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE). <b>Published</b> <i>With international search report.</i>

**(54) Title:** SUBSTITUTED LACTOSE AND LACTOSAMINE DERIVATIVES AS CELL ADHESION INHIBITORS

(1)

**(57) Abstract**

Simple lactose or lactosamine related compounds which behave as ligands for the ELAM-1 and related receptors are described. These compounds are of formula (1) wherein each  $R^1$  is independently H or lower alkyl (1-4C);  $R^2$  is H, lower alkyl (1-4C), alkylaryl or one or more additional saccharide residues;  $R^3$  and  $R^4$  are each independently H, alkyl(1-6C), aryl or  $R^3$  and  $R^4$ , taken together, form a five- or six-membered ring optionally containing a heteroatom selected from the group consisting of O, S, and  $NR^1$ ; wherein said five- or six-membered ring may further be substituted by one or more substituents selected from the group consisting of  $(CHOR^1)_mH$  wherein m is 1-4,  $OR^1$ ,  $OOCR^1$ ,  $NR^1_2$ ,  $NHCOR^1$ , and  $SR^1$ ; Y is H,  $OR^1$ ,  $OOCR^1$ ,  $NR^1_2$ ,  $NCOR^1$  or  $SR^1$ ; and X is  $-CHR^5(CHOR^1)_2CHR^6OR^1$  wherein  $R^5$  and  $R^6$  are each independently H, lower alkyl(1-4C) optionally substituted with one or more F, or result in a five- or six-membered ring optionally containing a heteroatom selected from the group consisting of O, S, and  $NR^1$ ; said five- or six-membered ring optionally substituted with one substituent selected from the group consisting of  $R^1$ ,  $CH_2OR^1$ ,  $OR^1$ ,  $OOCR^1$ ,  $NR^1_2$ ,  $NHCOR^1$ ,  $SR^1$  and F; or X is benzoyl or naphthoyl having 1-3 hydroxyl substituents, with the proviso that if X represents a hexose substituent  $R^3$  and  $R^4$ , taken together, cannot provide a hexose substituent. These compounds are useful in the treatment of conditions characterized by excess inflammation.

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5        SUBSTITUTED LACTOSE AND LACTOSAMINE DERIVATIVES  
         AS CELL ADHESION INHIBITORS

Technical Field

         The invention relates to compounds useful in  
10    the treatment of inflammation, allergic reactions,  
      autoimmune diseases, and related conditions. More  
      specifically, the invention concerns substituted  
      lactose and lactosamines that bind to the endothelial  
      leukocyte adhesion molecule-1 (ELAM-1) and to  
15    pharmaceutical compositions containing them. The  
      present invention is also directed to synthetic  
      methods useful in obtaining these analogs and other  
      lactosamine and lactose derivatives.

20    Background Art

         It is now well established that cellular  
      interactions are at least in part mediated by  
      receptor/ligand interactions. One class of receptors  
      is known to recognize the peptide subsequence "RGD";  
25    other receptors recognize carbohydrate ligands.

         One class of receptors that recognize  
      carbohydrate-based ligands mediates the adhesion of  
      circulating neutrophils to stimulated vascular  
      endothelium. This is a primary event of the  
30    inflammatory response and appears to be involved as  
      well in allergic and autoimmune responses. Several of  
      the lectin-based receptors that are presumably  
      involved in this process include LECAM-1 (gp90<sup>mol</sup>); gmp-  
      140 (PADGEM) and ELAM-1 (LECAM-2). Of particular  
35    interest herein is the ELAM-1 receptor which has been  
      shown to interact with the sialyl-Lewis<sup>x</sup>  
      oligosaccharide (NeuAc( $\alpha$ 2,3)Gal( $\beta$ 1,4)[Fuc  
      ( $\alpha$ 1,3)]GlcNAc.

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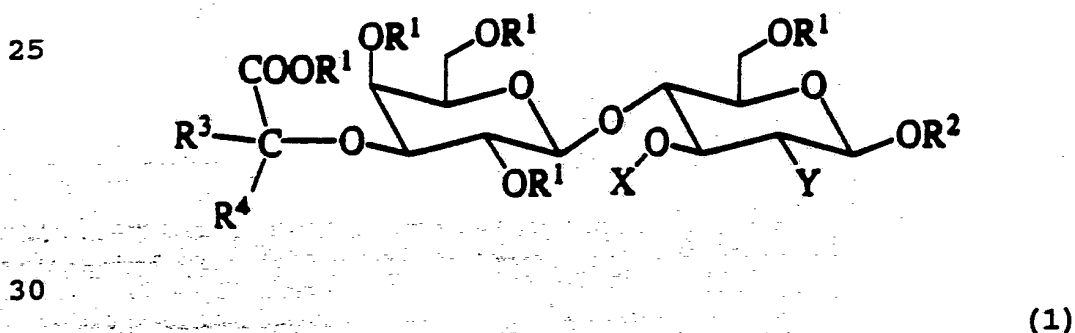
U.S. Patent Application Serial No.  
07/683,458, filed 11 April 1991 assigned to the  
present assignee and incorporated herein by reference  
discloses and claims the foregoing minimum

5 tetrasaccharide structure and identifies the groups  
putatively interactive with the ELAM-1 receptor.

The present invention provides analogs of  
the sialyl-Lewis<sup>x</sup> residue which are agonists or  
antagonists of the ELAM-1 receptor and related cell  
10 adhesion receptors as well as an improved synthetic  
method to prepare both these analogs and compounds  
which are derivatives of lactose or lactosamine in  
general.

### 15 Disclosure of the Invention

The invention provides agonists and  
antagonists which bind the ELAM-1 receptor or other  
related cell adhesion receptors and thus modulate the  
course of inflammation and related responses. In this  
20 aspect, the invention is directed to compounds of the  
formula:



35 wherein each R<sup>1</sup> is independently H or lower  
alkyl (1-4C);

R<sup>2</sup> is H, lower alkyl(1-4C), alkylaryl or one  
or more additional saccharide residues;

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$R^3$  and  $R^4$  are each independently H, alkyl (1-6C) or aryl or  $R^3$  and  $R^4$ , taken together, form a five- or six-membered ring optionally containing a heteroatom selected from the group consisting of O, S, and  $NR^1$ ;

wherein said five- or six-membered ring may further be substituted by one or more substituents selected from the group consisting of  $(CHOR^1)_mH$  wherein  $m$  is 1-4,  $OR^1$ ,  $OOOR^1$ ,  $NR^1$ ,  $NHCOOR^1$ , and  $SR^1$ ;

Y is H,  $OR^1$ ,  $OOOR^1$ ,  $NR^1$ ,  $NCOOR^1$  or  $SR^1$ ; and

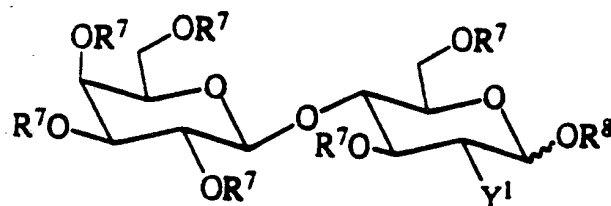
X is  $-CHR^5(CHOR^1)_2CHR^6OR^1$  wherein  $R^5$  and  $R^6$  are each independently H, lower alkyl(1-4C) optionally substituted with one or more F, or taken together result in a five- or six-membered ring optionally containing a heteroatom selected from the group consisting of O, S, and  $NR^1$ ;

said five- or six-membered ring optionally substituted with one substituent selected from the group consisting of  $R^1$ ,  $CH_2OR^1$ ,  $OR^1$ ,  $OOOR^1$ ,  $NR^1$ ,  $NHCOOR^1$ ,  $SR^1$ , and F;

or X is benzoyl or naphthoyl having 1-3 hydroxyl substituents,

with the proviso that if X represents a hexose substituent  $R^3$  and  $R^4$ , taken together, cannot provide a hexose substituent.

In another aspect, the invention is directed to a method to synthesize lactose and lactosamine derivatives which method comprises contacting an intermediate of the formula



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wherein each  $R^7$  is independently H, lower alkyl (1-4C), or a protecting group; and  
wherein  $Y^1$  is H,  $OR^7$ ,  $OOCR^7$ ,  $NR_2^7$ ,  $NCOR^7$  or  $SR^7$ ;  
wherein at least one  $R^7$ , which is at the  
5 position to be substituted, and at most one adjacent  $R^7$   
is H and all other  $R^7$ s are protecting groups; and  
 $R^8$  is a protecting group,  
with an electrophile-donating moiety to  
obtain a product wherein the electrophile is  
10 substituted for the H of the OH at the position to be  
substituted.

In other aspects, the invention is directed  
to pharmaceutical compositions containing the  
compounds of formula 1 and to methods of treating  
15 inflammation using these compositions. In other  
aspects, the invention is directed to compounds of  
formula 2 and additional intermediates in the  
synthesis of ELAM-1 binding ligands or other useful  
lactosyl residue-containing moieties.

20

#### Modes of Carrying Out the Invention

The invention provides compounds that are  
useful in the treatment of inflammation by virtue of  
their ability to bind to ELAM-1 receptor. Figure 1  
25 shows a diagrammatic view of the role believed to be  
played by ELAM-1 in mediating inflammation. Blood  
vessels are lined with endothelial cells capable of  
producing the ELAM-1 surface receptor. Lymphocytes  
circulating in the vessel contain on their surfaces  
30 carbohydrate ligands capable of binding to the ELAM-1  
receptor. This results in transfer of the lymphocyte  
through the vessel wall and into the surrounding  
tissue. While this may have a useful effect in some  
circumstances, as in cases when the surrounding tissue  
35 is infected, excessive transfer of the lymphocytes  
through the vessel wall and into the tissue may also  
be excessive and cause unwanted inflammation. While  
not wishing to be limited by any particular theory, it

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is believed that the compounds of the present invention which bind the ELAM-1 receptor, antagonize the action of the surface ligands on the circulating lymphocytes and thus prevent their transfer through the blood vessel wall to cause inflammation in the surrounding tissue.

A convenient assay for the ability of the compounds of formula 1 to prevent or control inflammation is provided by cells which have been modified to contain recombinant expression systems for the ELAM-1 receptor. The complete nucleotide sequence encoding ELAM-1 and the amino acid sequence thereof are provided by Bevilacqua, M.P. et al., Science (1989) 243:1160. Complete cDNA for the receptor was obtained by PCR starting with mRNA isolated from IL-1 stimulated human umbilical vein endothelium; the recovered cDNA was inserted into CDM8 (Aruffo, A. et al., Proc Natl Acad Sci USA (1987) 84:8573) and the plasmid was amplified in E. coli. Plasmid DNA from individual colonies was isolated and used to transfect Cos cells. Positive plasmids were selected by their ability to generate Cos cells that support HL-60 cell adhesion; DNA sequencing positively identified one of these clones as encoding ELAM-1.

Cos cells expressing membrane-bound ELAM-1 are metabolically radiolabeled with P32 phosphate and used as probes in an assay system to determine the ability of candidate compounds to bind ELAM-1. The candidate compound is adsorbed to PVC microtiter wells and probed with the labeled ELAM-transfected Cos cells or controls under conditions of controlled detachment force as described by Swank-Hill, P. et al., Anal Biochem (1987) 183:27; Blackburn, C.C. et al., J Biol Chem (1986) 261:2873, both incorporated herein by reference.

Thus, any candidate compound of the formula 1 may be verified to bind ELAM-1 receptors by a positive result in the foregoing assays. These assays

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provide a simple screen for determining the relative effectiveness of the various members of the group consisting of compounds of formula 1.

5    Nontherapeutic Uses of Compounds of Formula 1

          In addition to their use in treating or preventing inflammation as is further described hereinbelow, the compounds of formula 1 are useful in diagnostic and preparatory procedures both in vitro  
10    and in vivo.

          Compounds of formula 1 may be conjugated to solid substrates and used for the purification of ELAM-1 receptor protein from biological samples. This is conducted most conveniently by arranging the  
15    coupled substrate as an affinity chromatography column and applying a sample putatively containing the ELAM-1 receptor protein to the affinity column under conditions wherein the ELAM-1 receptor protein is adsorbed whereas contaminating materials are not. The  
20    ELAM-1 receptor protein is then subsequently eluted, for example, by adjusting the eluent solution to containing competing amounts of the compound of formula 1 or by adjusting pH or salt parameters. Techniques for affinity purification are well  
25    understood, and routine optimization experiments will generate the appropriate conditions for conduct of the procedure.

          The compounds of formula 1 are also useful as detection reagents to determine the presence or  
30    absence of ELAM-1 or related carbohydrate-binding receptor ligands. For use in such diagnostic assays, a biological sample suspected to contain ELAM-1 receptor protein or a receptor protein closely related thereto is treated with the compound of formula 1  
35    under conditions wherein complexation occurs between the receptor protein and the formula 1 compound, and the formation of the complex is detected. A wide variety of protocols may be utilized in such



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procedures, analogous to protocols applied in immunoassays. Thus, direct assay wherein the amount of complex formed is directly measured may be utilized; alternatively, competition assays may be used wherein labeled ELAM receptor protein is supplied along with, and in competition with, the biological sample. In some forms of the assay, it is convenient to supply the compounds of formula 1 in labeled form so that the complex is detected directly; in alternate procedures, the complex may be detected by size separations, secondary labeling reagents, or other alternate means. Suitable labels are known in the art, and include radioactive labels, fluorescent labels, enzyme labels, chromogenic labels, or composites of these approaches.

The compounds of formula 1 may also be used as competitive diagnostic reagents to detect the quantity of ELAM-1 receptor-binding components, such as surface ligands, in biological fluids. For the conduct of such assays, the compounds of formula 1 are labeled as described above and mixed with the biological sample and contacted with the appropriate receptor protein; the diminution of binding of the labeled compound of formula 1 to ELAM-1 receptor in the presence of biological sample is then determined.

The compounds of formula 1 may also be used in imaging studies in vivo to determine the location of ELAM-1 receptors in situ. For use in such assays, the compounds of formula 1 are supplied with labels which can be detected by in vivo imaging techniques, such as scintigraphic labels including indium 111, technetium 99, iodine 131, and the like.

Techniques for coupling compounds such as those of formula 1 to labels, chromatographic supports, or other moieties useful in employing the compounds in the relevant procedures are well understood in the art.

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Antibodies may also be prepared to the compounds of formula 1 by coupling these compounds to suitable carriers and administering the coupled materials to mammalian or other vertebrate subjects in standard immunization protocols with proper inclusion of adjuvants. Suitable immunogenic carriers include, for example, Keyhole Limpet Hemocyanin (KLH), tetanus toxoid, various serum albumins such as bovine serum albumin (BSA) and certain viral proteins such as rotaviral VP6 protein. These coupled materials are then administered in repeated injections to subjects such as rabbits, rats or mice and antibody titers monitored by standard immunoassay techniques. The resulting antisera may be used per se or the antibody-secreting cells generated by the immunization may be immortalized using standard techniques and used as a source of monoclonal preparations which are immunoreactive with the compounds of formula 1. The resulting antibodies are useful in assay systems for determining the presence and/or amount of the relevant formula 1 compound. Such assays are useful in monitoring the circulating levels of compounds of formula 1 in therapeutic treatments such as those described below.

25

#### Administration in Antiinflammatory Protocols

The compounds of the invention are administered to a subject in need thereof for prophylactically preventing inflammation or relieving it after it has begun. "Treating" as used herein means preventing or ameliorating inflammation and/or symptoms associated with inflammation. The compounds are preferably administered with a pharmaceutically acceptable carrier, the nature of the carrier differing with the mode of administration, for example, oral administration, usually using a solid carrier and I.V. administration using a liquid salt solution carrier. Typically, injectable compositions

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are prepared as liquid solutions or suspensions; solid forms suitable for solution in, or suspension in, liquid vehicles prior to injection may also be prepared. The compounds may also be emulsified or the active ingredient encapsulated in liposome vehicles.

Suitable vehicles are, for example, water, saline, dextrose, glycerol, ethanol, or the like, and combinations thereof. In addition, if desired, the vehicle may contain minor amounts of auxiliary substances such as wetting or emulsifying agents or pH buffering agents. Actual methods of preparing such dosage forms are known, or will be apparent, to those skilled in the art. See, for example, Remington's Pharmaceutical Sciences, Mack Publishing Company, Easton, PA, 17th edition, 1985.

Formulations may employ a variety of excipients including, for example, pharmaceutical grades of mannitol, lactose, starch, magnesium stearate, sodium saccharin cellulose, magnesium carbonate, and the like. Oral compositions may be taken in the form of solutions, suspensions, tablets, pills, capsules, sustained release formulations, or powders. Particularly useful is the administration of the subject ligand molecules directly in transdermal formulations with permeation enhancers such as DMSO. Other topical formulations can be administered to treat dermal inflammation. In addition, transmucosal administration may be effected using penetrants such as bile salts or fusidic acid derivatives optionally in combination with additional detergent molecules. These formulations are useful in the preparation of suppositories, for example, or nasal sprays. For suppositories, the vehicle composition will include traditional binders and carriers, such as polyalkylene glycols, or triglycerides. Such suppositories may be formed from mixtures containing the active ingredient in the range of about 0.5% to about 10% (w/w), preferably about 1% to about 2%.

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Intranasal formulations will usually include vehicles that neither cause irritation to the nasal mucosa nor significantly disturb ciliary function. Diluents such as water, aqueous saline or other known substances can be employed with the subject invention. The nasal formulations may also contain preservatives such as, but not limited to, chlorobutanol and benzalkonium chloride. A surfactant may be present to enhance absorption of the subject proteins by the nasal mucosa.

Typically, the compositions of the instant invention will contain from less than 1% to about 95% of the active ingredient, preferably about 10% to about 50%. Preferably, between about 10 mg and 50 mg will be administered to a child and between about 50 mg and 1000 mg will be administered to an adult. The frequency of administration will be determined by the care given based on patient responsiveness. Other effective dosages can be readily determined by one of ordinary skill in the art through routine trials establishing dose response curves.

In determining the dose to be administered, it will be noted that it may not be desirable to completely block all ELAM-1 receptors. In order for a normal healing process to proceed, at least some of the white blood cells or neutrophils must be brought into the tissue in the areas where any wound, infection or disease state is occurring. The amount of the ELAM-1 ligands administered as blocking agents must be adjusted carefully based on the particular needs of the patient while taking into consideration a variety of factors such as the type of disease that is being treated.

The compounds of the present invention are useful to treat a wide range of diseases, for example autoimmune diseases such as rheumatoid arthritis and multiple sclerosis. The compositions of the invention are applicable to treat any disease state wherein the

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immune system turns against the body causing the white cells to accumulate in the tissues to the extent that they cause tissue damage, swelling, inflammation and/or pain.

5                   Formulations of the present invention might also be administered to prevent the undesirable aftereffects of tissue damage resulting from heart attacks. When a heart attack occurs and the patient has been revived, such as by the application of  
10   anticoagulants or thrombolytic (e.g., tPA), the endothelial lining where a clot was formed has often suffered damage. When the antithrombotic has removed the clot, the damaged tissue beneath the clot and other damaged tissue in the endothelial lining which  
15   has been deprived of oxygen become activated. The activated endothelial cells then synthesize the ELAM-1 receptors within hours of the cells being damaged. The receptors are extended into the blood vessels where they adhere to glycolipid ligand molecules on  
20   the surface of white blood cells. Large numbers of white blood cells are quickly captured and brought into the tissue surrounding the area of activated endothelial cells, resulting in inflammation, swelling and necrosis which thereby decreases the likelihood of  
25   survival of the patient.

                  In addition to treating patients suffering from the trauma resulting from heart attack, patients suffering from actual physical trauma could be treated with formulations of the invention in order to relieve  
30   the amount of inflammation and swelling which normally result after an area of the body is subjected to severe trauma. Other conditions treatable using formulations of the invention include various types of arthritis and adult respiratory distress syndrome.  
35   After reading the present disclosure, those skilled in the art will recognize other disease states and/or symptoms which might be treated and/or mitigated by

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the administration of formulations of the present invention.

#### Applications of Compounds of Formula 2

5           The compounds of formula 2 are intermediates in the preparation of compounds which contain a lactosyl or lactosamine unit. Notably, the compounds of formula 2 are useful in the preparation of compounds of formula 1 whose use is described  
10       hereinabove. In addition to the compounds of formula 1, alternative compounds containing a lactose or lactosamine residue may also be prepared, such as

          4-O-(3-O-carbonymethyl-β-D-galactopyranosyl)-3-O-[2R,S)-glyceryl]-D-glucopyranose;

          4-O-(3-O-carbonymethyl-β-D-galactopyranosyl)-3-O-[2R,S)-2,3-dideoxy-2,3-difluoropropyl]-D-glucopyranose;

          4-O-[3-O-((1R,S)-1-(carboxy)ethyl)-β-D-galactopyranosyl]-3-O-[(2R,S)-glyceryl]-D-glucopyranose;

          4-O-[3-O-((1R,S)-1-(carboxy)ethyl)-β-D-galactopyranosyl]-3-O-(α-L-fucopyranosyl)-D-glucopyranose;

25       4-O-[3-O-(α-Neu5Ac)-β-D-galactopyranosyl]-3-O-[(2R,S)-glyceryl]-D-glucopyranose;

          4-O-[3-O-(α-Neu5Ac)-β-D-galactopyranosyl]-3-O-[(2R,S)-2,3-dideoxy-2,3-difluoropropyl]-D-glucopyranose.

30

#### Multivalent Forms of the Receptor Binding Ligands

          The affinity of the ligands of the invention for receptor can be enhanced by providing multiple copies of the ligand in close proximity, preferably  
35       using a scaffolding provided by a carrier moiety. It has been shown that provision of such multiple valence with optimal spacing between the moieties dramatically improves binding to receptor. For example, Lee, R. et

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al., Biochem (1984) 23:4255, showed that providing multivalent forms of lactose inhibited labeled ASOR binding to mammalian hepatocytes much more effectively when the lactose was supplied as a multivalent entity; the  $IC_{50}$  dropped from 500  $\mu M$  for a single valent lactose to 9 for a divalent lactosyl compound to 4 for a trivalent lactosyl compound, and with ideal or optimal spacing between the three lactose moieties to 0.007  $\mu M$ .

10           The multivalency and spacing can be controlled by selection of a suitable carrier moiety. Such moieties include molecular supports which contain a multiplicity of functional groups that can be reacted with functional groups associated with the  
15           ligands of the invention. A particularly preferred approach involves coupling of the lactose-derived ligands of the invention to amino groups of the carrier through reductive amination. Reductive amination is a particularly convenient way to couple  
20           aldehyde moieties to free amino groups by first forming the Schiff base and then treating the conjugate with a reducing agent, such as a hydride reducing agent. Typically, the amino group-bearing carrier is mixed with the carbohydrate moiety at about  
25           pH 9 and allowed to form the Schiff base; the solvents are typically evaporated and reducing agent is added at high pH to complete the reaction.

          Particularly convenient carrier moieties to obtain multivalent forms of the invention ligands  
30           include proteins and peptides, particularly those containing lysyl residues which have  $\epsilon$ -amino groups available for binding. It is also useful to include in the peptide or protein at least one tyrosine residue, as this offers a convenient site for  
35           labeling, for example with radioactive iodine. A particularly convenient carrier to obtain a trivalent couple is the peptide Lys-Tyr-Lys. Complete reaction of the ligands of the invention with the free amino

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groups on this peptide result in a trivalent moiety.  
Thus, compounds of the invention of the formula

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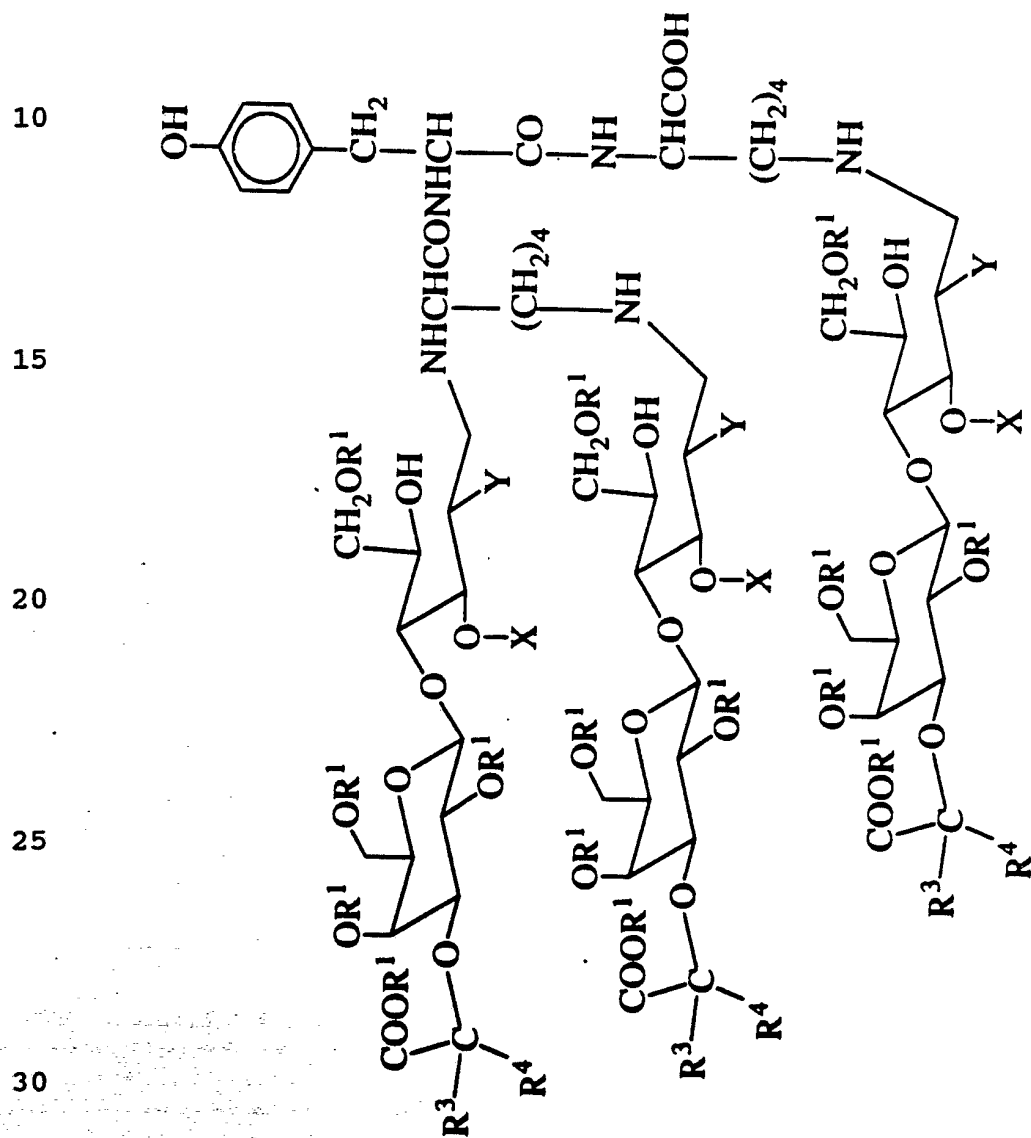
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wherein X, Y, and R<sup>1</sup>, R<sup>3</sup> and R<sup>4</sup> are as above defined illustrate the multivalent compounds of the invention. Of course, a variety of carriers can be used, including proteins such as BSA or HSA, a multiplicity  
5 of peptides including, for example, pentapeptides, decapeptides, pentadecapeptides, and the like. Preferably, the peptides or proteins contain the desired number of amino acid residues having free amino groups in their side chains; however, other  
10 functional groups, such as sulfhydryl groups or hydroxyl groups can also be used to obtain stable linkages. For example, the carbohydrate ligands of the invention may be oxidized to contain carboxyl groups at the reducing terminus which can then be  
15 derivatized with either free amino groups to form amides or with hydroxyl groups to form esters.

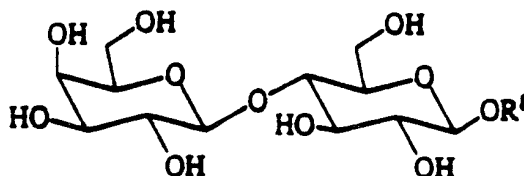
#### Preparation of the Compounds of Formula 1

The compounds of the invention of Formula 1  
20 may be synthesized using an intermediate of Formula 2. The intermediate of Formula 2, in one embodiment, can be prepared directly from D-lactose using standard procedures. In this conversion, D-lactose is converted to the octaacetate in crystalline form, in  
25 over 95% yield in the method described by Hudson, C., and Kuns, A., J Am Chem Soc (1925) 47:2052. The octaacetate is, in turn, converted in more than 90% yield by the method of Hudson, C. (supra) or of Fischer, E. and Fischer, H., Ber (1910) 43:2521 to the  
30 corresponding lactosyl bromide, also a crystalline compound. The protected lactosyl bromide is converted by the method of Jansson, K., et al., J Org Chem (1988) 53:5629, in over 60% yield to the corresponding acylated trimethylsilyl ethyl lactose, which can be  
35 deprotected by deacylation in quantitative yield to obtain 2-(trimethylsilyl)ethyl lactose--i.e., 2-(trimethylsilyl)ethyl  $\beta$ -D-galactopyranosyl- $\beta$ -D

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glucopyranoside. Alternative protective groups at position 1 of the disaccharide may also be used.

This precursor of the compounds of Formula 2 is of the formula:



wherein  $R^8$  is a protecting group, preferably SE or Bn, wherein SE represents  $-\text{CH}_2\text{CH}_2\text{SiMe}_3$  and Bn is benzyl.

Reaction Scheme 1 outlines the formation of one embodiment of the compounds of Formula 2 from this intermediate, where Bz represents benzoyl:

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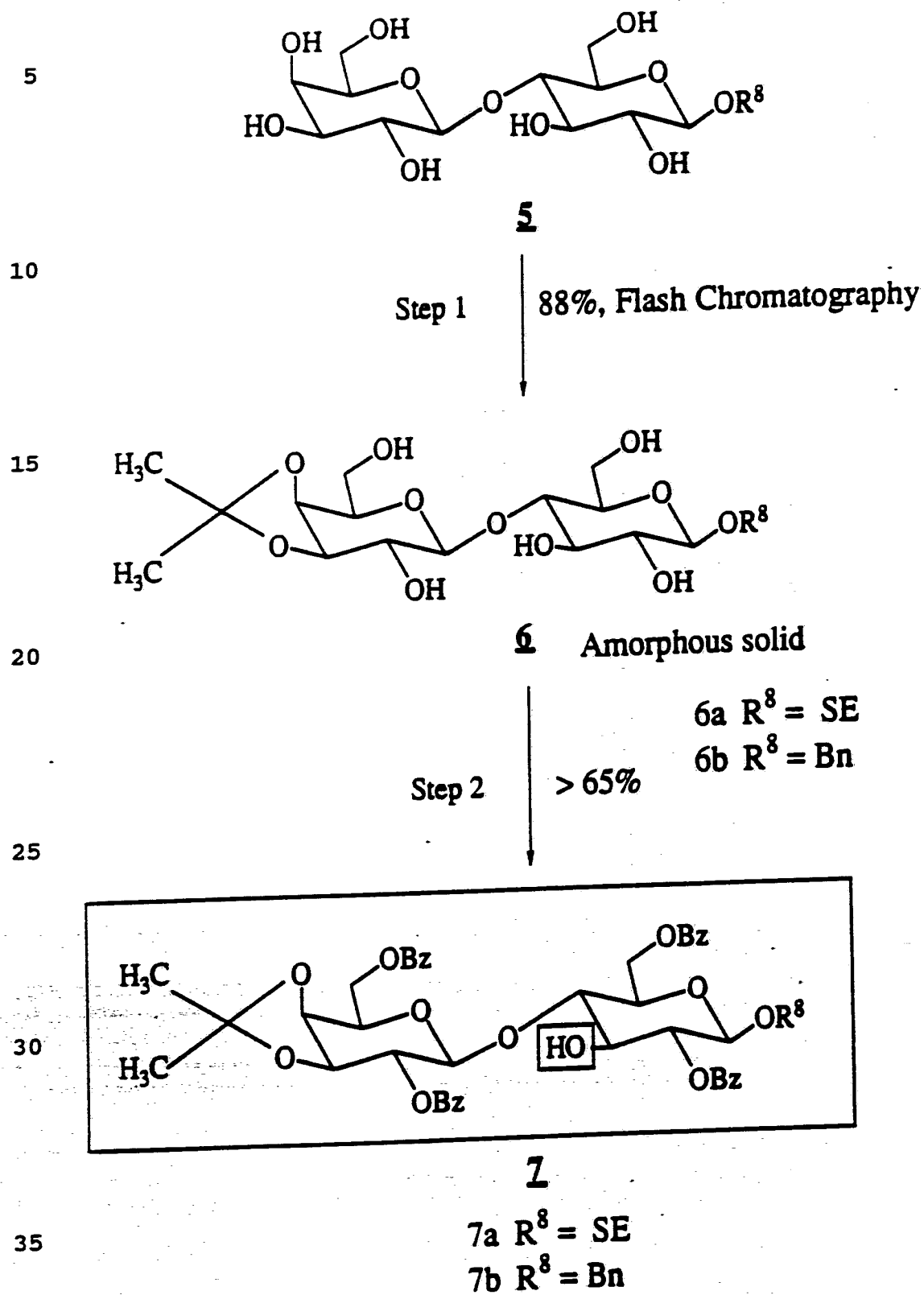
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## Reaction Scheme 1



-19-

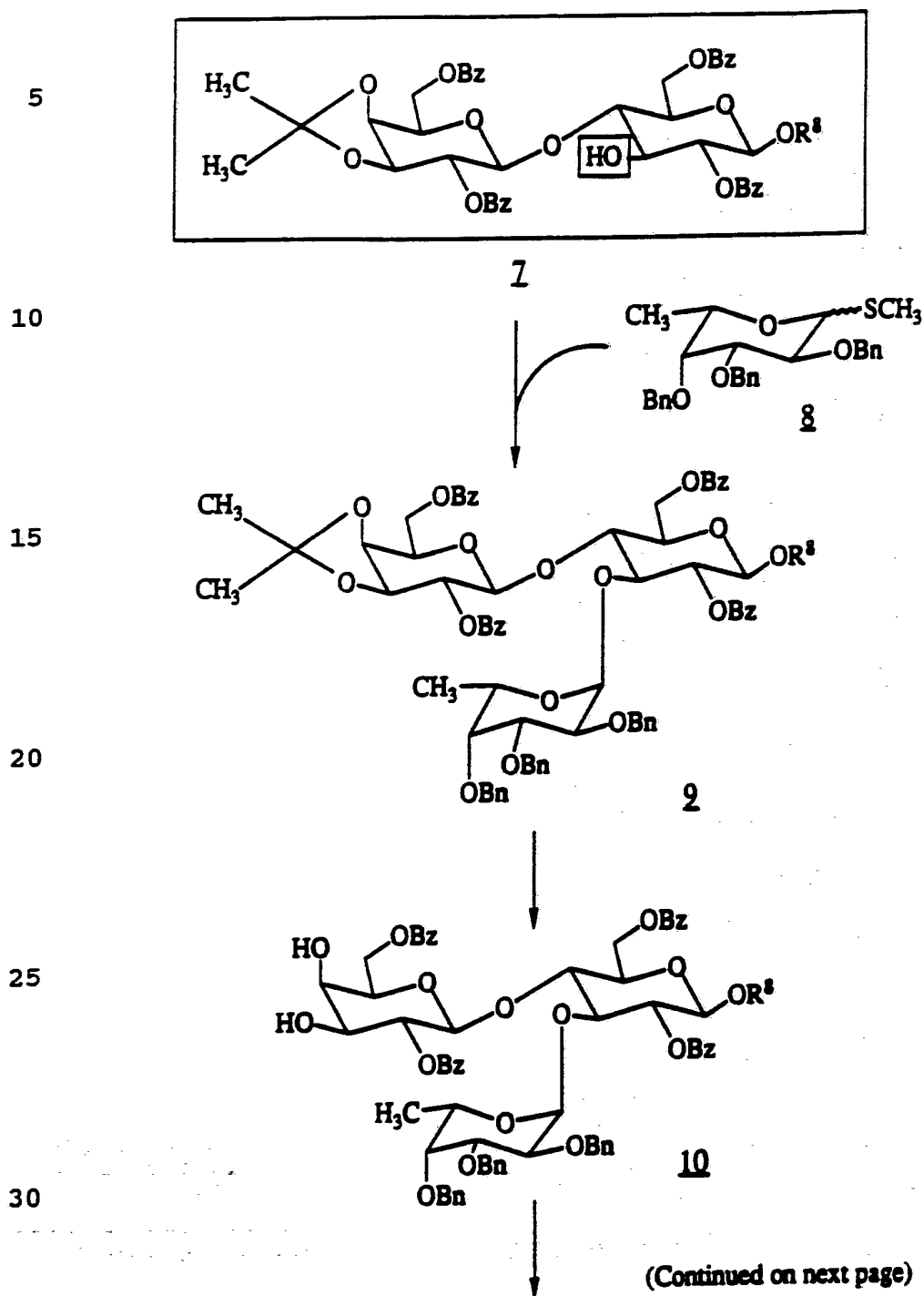
In step 1 of the reaction scheme, the protected lactose, e.g., the trimethylsilyl ethyl derivative or benzyl derivative, is treated with an excess of 2,2-dimethoxypropane and dry camphor sulfonic acid is added to the reaction mixture which is stirred for 2-3 days at about room temperature. A suitable base, such as triethylamine is added and stirring continued for 10-20 minutes; the mixture is then concentrated to dryness and the base removed. The reaction mixture is worked up using standard procedures to recover the product 6. This intermediate is then benzoylated under suitable conditions using, for example, benzoyl chloride to obtain the intermediate compound shown in reaction scheme as 7. The intermediate 7 may then be further derivatized at the free hydroxyl at the 3-position of the glucoside residue or this position may be protected and the compound deprotected at positions 3 and 4 of the galactosyl residue and further derivatized at position 3. Position 4 of the galactosyl residue is relatively unreactive. Typical schemes for utilization of this key intermediate 7 are shown in Reaction Schemes 2A and 2B. (In these depictions, Bz is benzoyl (PhCO-) and Bn is benzyl (PhCH<sub>2</sub>-).

30

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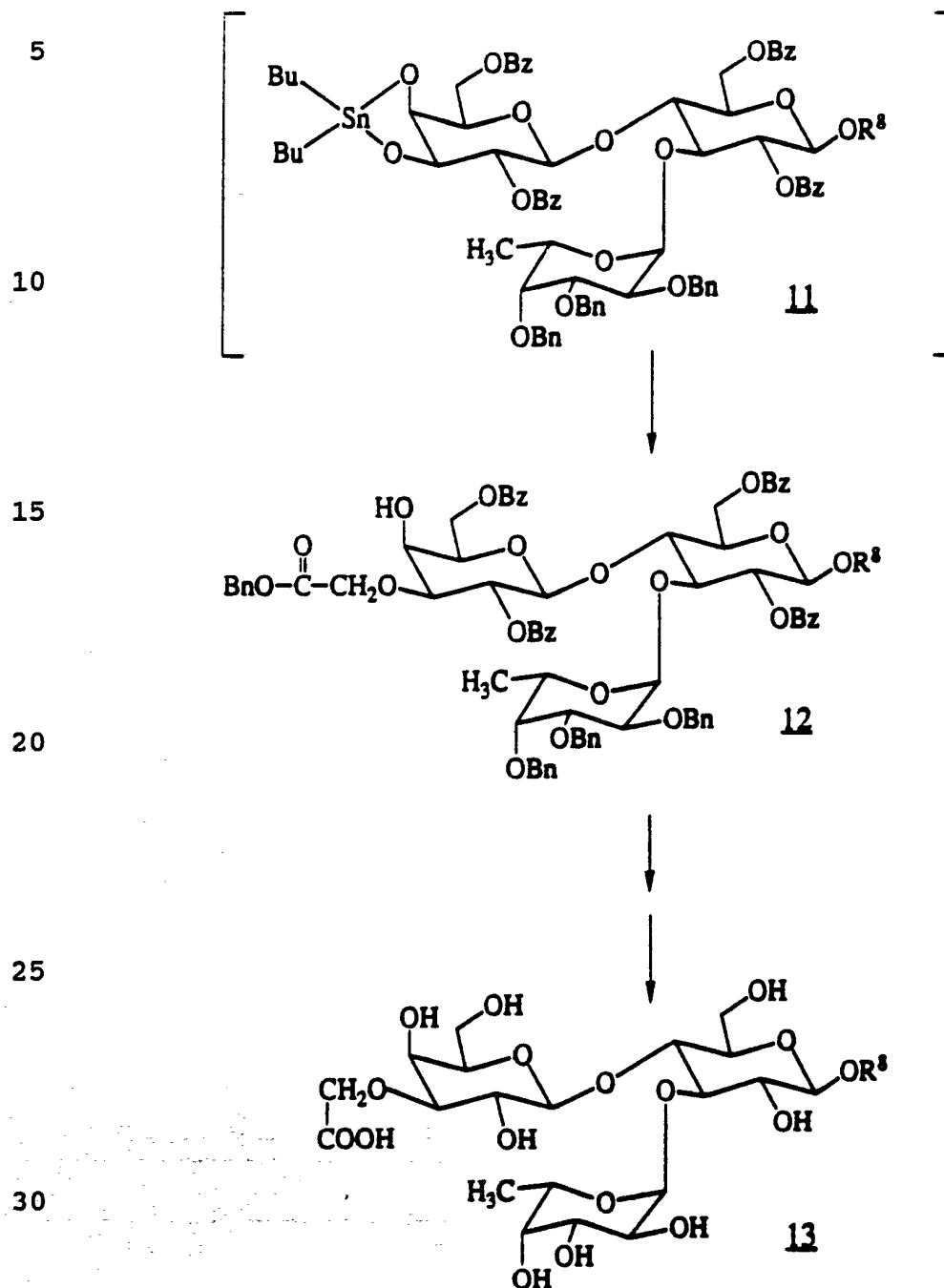
-20-

## Reaction Scheme 2A



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## Reaction Scheme 2A (continued)



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As shown in Reaction Scheme 2A, the intermediate 7 is converted in two steps to intermediate 10 by treatment under suitable conditions with protected methyl 1-thio-L-fucoside. The reaction is conducted in a nonaqueous aprotic solvent in the presence of cupric bromide, tetrabutylammonium bromide and molecular sieve. The resultant compound shown as 10 having free OH groups in positions 3 and 4 of the galactosyl residue is then reprotected by treatment using dibutyl tin oxide in the second step of Reaction Scheme 2A, resulting in the intermediate shown as 11, which is not isolated. This reaction is conducted in methanol under reflux with the protecting reagent, as described by Nashed, M.A. et al., Tet Lett (1976) 39:3503-3506.

The resulting intermediate 11 is then converted in situ to a preferred form of the compound of Formula 1 in two steps, also shown in scheme 2A. The dibutyl tin is replaced by any desired electrophile at position 3 of the galactosyl residue and the intermediate 12 converted to the final preferred product 13. This conversion is effected by treating 12 in methanol in the presence of palladium charcoal catalyst followed by treatment with base to remove the protecting groups.

Alternatively, the intermediate 7 can be derivatized initially at the position occupied by the isopropylidene group as shown in Reaction Scheme 2B.

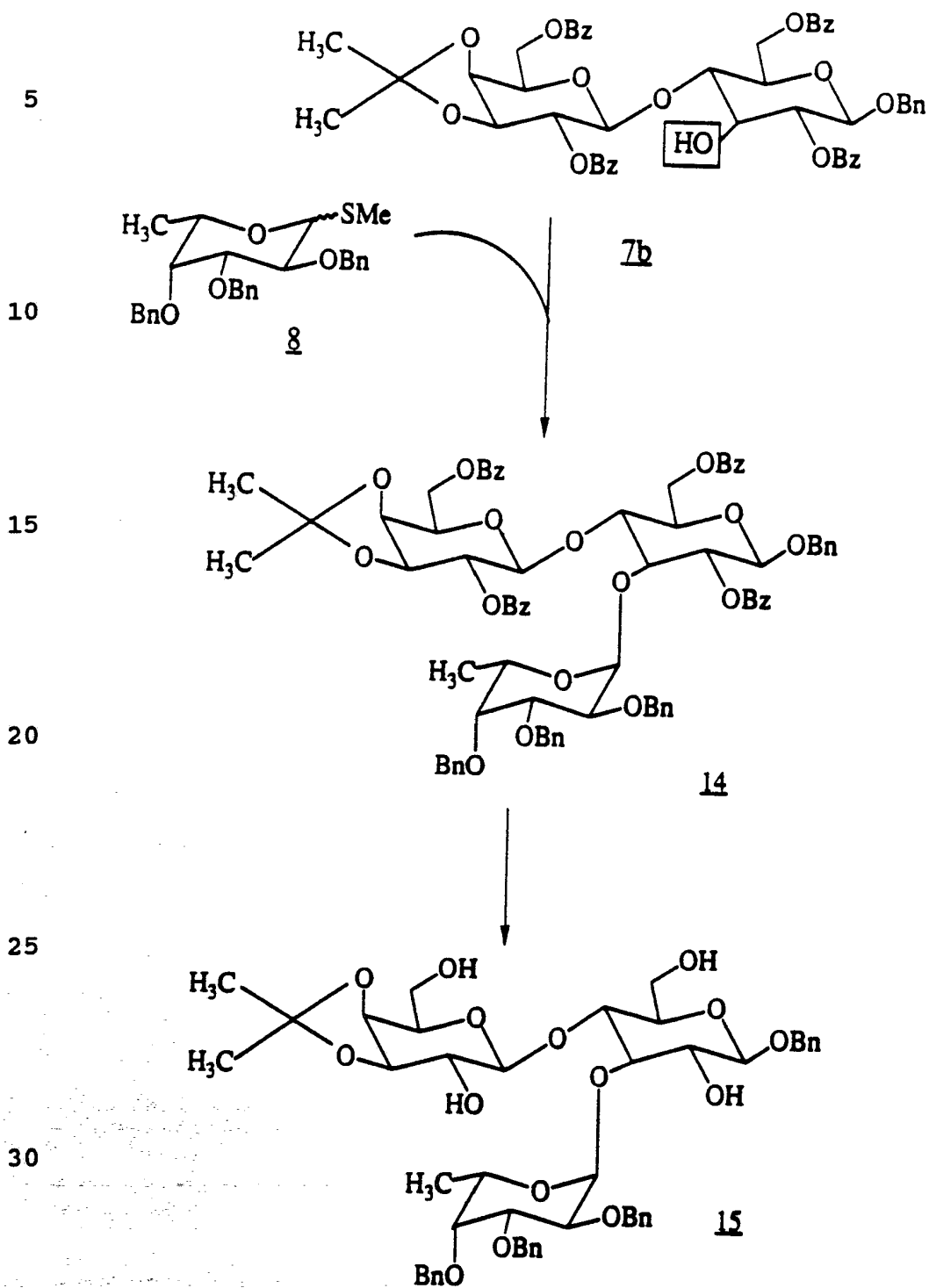
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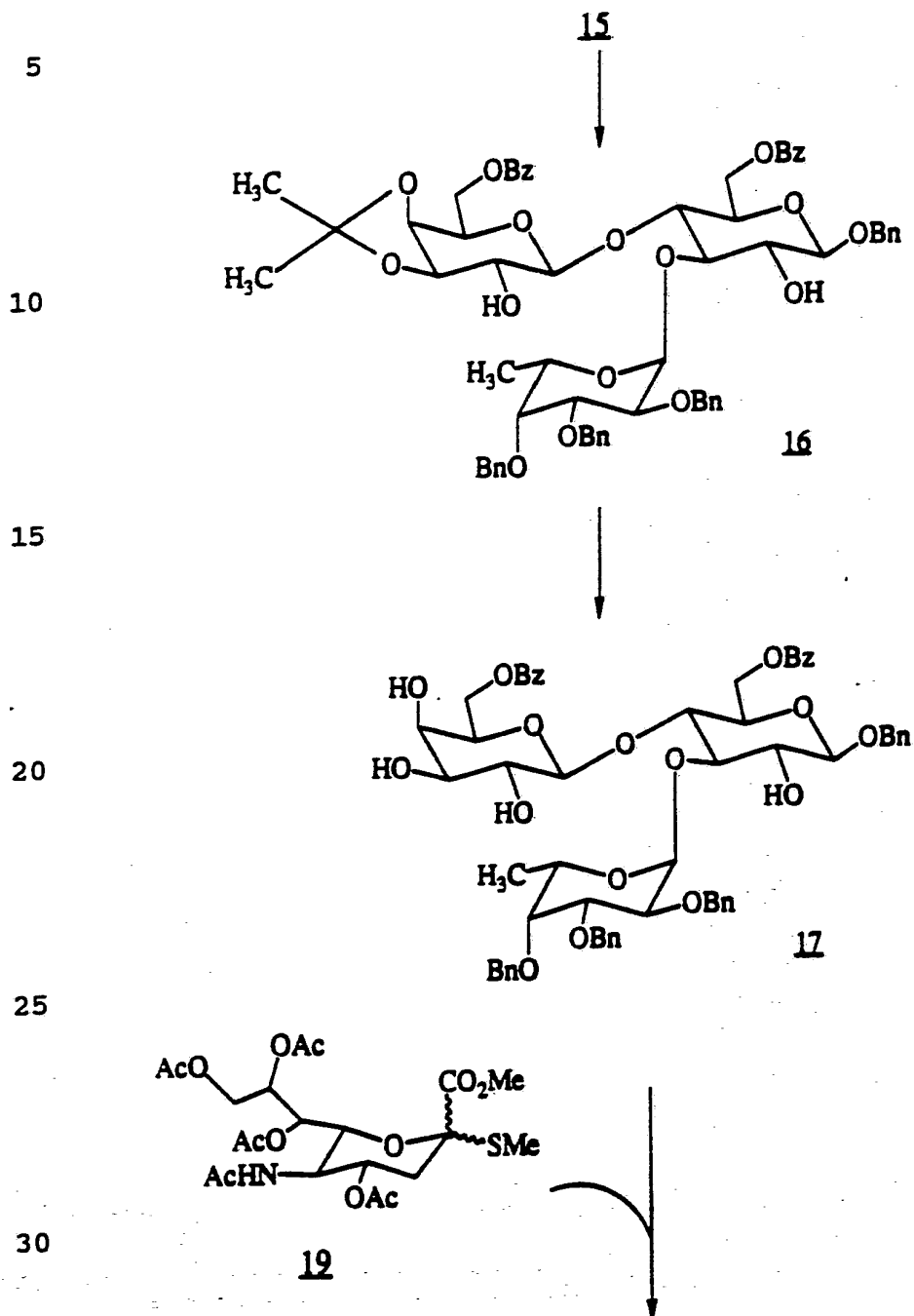
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## Reaction Scheme 2B



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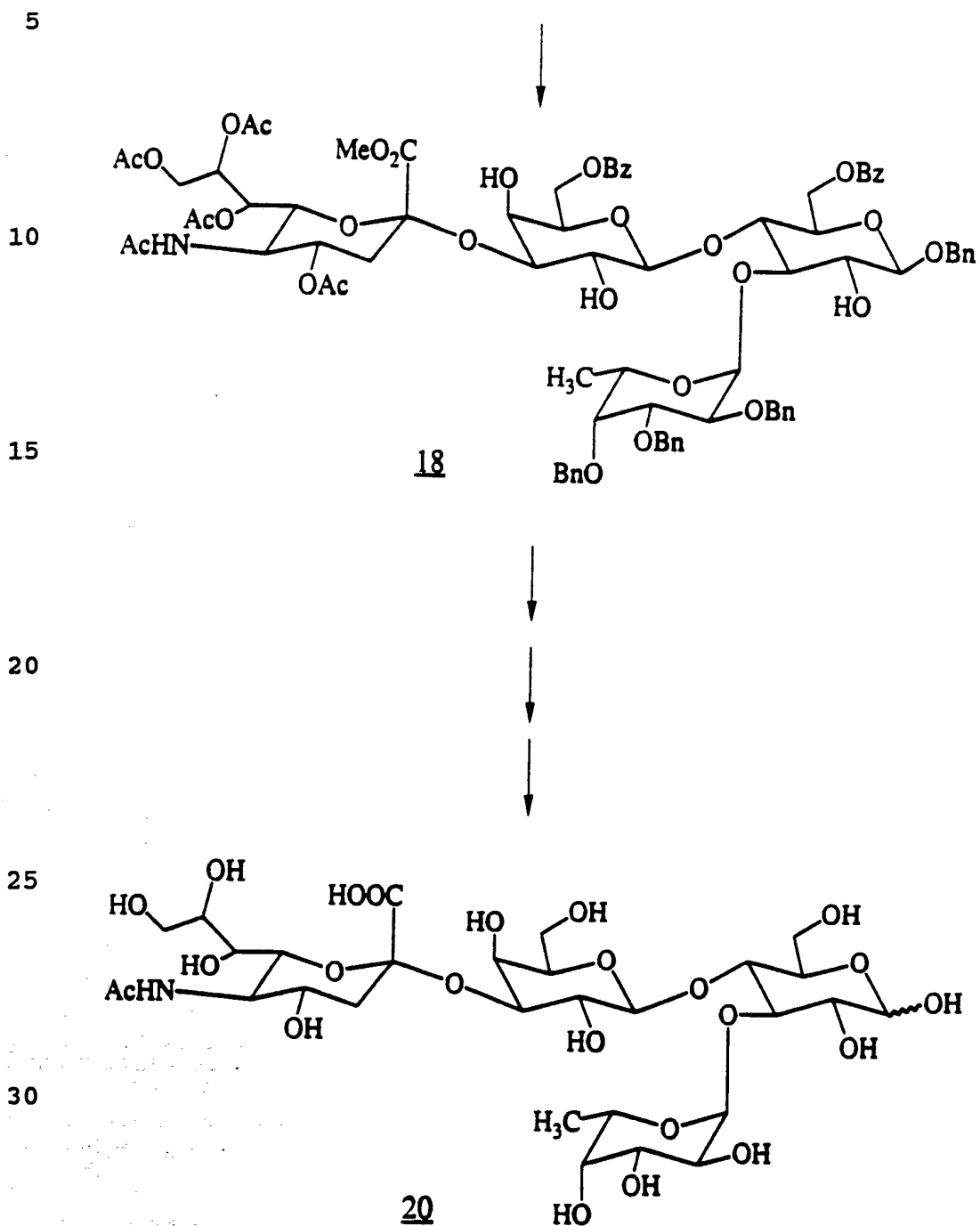
## Reaction Scheme 2B (continued)



(Continued on next page)

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## Reaction Scheme 2B (continued)



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The sequence of reactions set forth in Scheme 2B is generally as disclosed by Sato, S. et al. Carbohydrate Res (1986) 155:C6-C10; Dasgupta, F. and Garegg, P.J., Carbohydrate Res (1988) 177:C13-C17. As shown in Scheme 2B, the benzoyl-protected key intermediate is first reacted with the thiofucoside to obtain the trisaccharide 14. Debenzoylation of the intermediate provides intermediate 15, which is converted by selective benzoxylation to the partially protected trisaccharide 16. Further hydrolysis of the isopropylidene group provides the trisaccharide 17, which is then reacted with the acylated methylthiolated sialyl residue to obtain 18; the intermediate 18 may then be deprotected to obtain the final product 20.

#### Compounds of the Invention and Preferred Embodiments

As used herein, alkyl (1-6C) refers to a saturated straight or branched chain or cyclic hydrocarbyl residue containing 1-6C; lower alkyl is similarly defined but containing only 1-4C.

As used herein, alkylaryl is of the formula  $(CH_2)_m$ -Ar wherein m is 1-10 and Ar is a mono- or bicyclic aromatic residue optionally containing one or more heteroatoms. Typical embodiments of Ar include phenyl, naphthyl, quinolyl, pyridyl, pyrimidinyl, benzthiazoyl, benzimidazolyl, and the like.

$R_8$  is a protecting group suitable for saccharide residues. Typical protecting groups include benzyl, benzoyl, various silylalkyl groups, such as trimethylsilylethyl (SE), and the like.

Exemplary compounds of formula 1 of the invention are those wherein

$R^3$  and  $R^4$  taken together are:

- 3,4,5-trihydroxypyran-2-yl,
- 3,4,5-trimethoxypyran-2-yl,
- 3,4,5-trimethoxymethylpyran-2-yl,
- 3,4,5-triacetylpyran-2-yl,

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- 3,4,5-tri-N-propylaminopyran-2-yl,  
3,4,5-tri-N,N-dibutylaminopyran-2-yl,  
3,4,5-tripropylamidopyran-2-yl,  
3,4,5-trimethylthiopyran-2-yl,  
5 4,5-dihydroxypyran-3-yl,  
4,5-dimethoxypyran-3-yl,  
4,5-dimethoxymethylpyran-3-yl,  
4,5-diacetylpyran-3-yl,  
4,5-di-N-propylaminopyran-3-yl,  
10 4,5-di-N,N-dibutylaminopyran-3-yl,  
4,5-dipropylamidopyran-3-yl,  
4,5-dimethylthiopyran-3-yl,  
3,4,5-trihydroxypiperidin-2-yl,  
3,4,5-trimethoxypiperidin-2-yl,  
15 3,4,5-trimethoxymethylpiperidin-2-yl,  
3,4,5-triacetylpiperidin-2-yl,  
3,4,5-tri-N-propylaminopiperidin-2-yl,  
3,4,5-tri-N,N-dibutylaminopiperidin-2-yl,  
3,4,5-tripropylamidopiperidin-2-yl,  
20 3,4,5-trimethylthiopiperidin-2-yl,  
3,4,5-trihydroxypyridine-2-yl,  
3,4,5-trimethoxypyridine-2-yl,  
3,4,5-trimethoxymethylpyridine-2-yl,  
3,4,5-triacetylpuridine-2-yl,  
25 3,4,5-tri-N-propylaminopyridine-2-yl,  
3,4,5-tri-N,N-dibutylaminopyridine-2-yl,  
3,4,5-tripropylamidopyridine-2-yl,  
3,4,5-trimethylthiopyridine-2-yl,  
4,5-dihydroxythiazol-2-yl,  
30 4,5-dimethoxythiazol-2-yl,  
4,5-dimethoxymethylthiazol-2-yl,  
4,5-diacetylthiazol-2-yl,  
4,5-di-N-propylaminothiazol-2-yl,  
4,5-di-N,N-dibutylaminothiazol-2-yl,  
35 4,5-dipropylamidothiazol-2-yl,  
4,5-dimethylthiothiazol-2-yl,  
2-hydroxy-4-methoxyfuran-3-yl,  
2-acetyl-4-N-propylfuran-3-yl,

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2-propylamido-5-methylthiofuran-3-yl,  
2,4-diethoxymethylfuran-3-yl,  
4-N,N-dibutylaminofuran-3-yl; and  
2-propanoylfuran-3-yl; or

5 wherein one of R<sup>3</sup> or R<sup>4</sup> is H and the other is

methyl,  
ethyl,  
n-butyl,

10

phenyl,

pyridin-2-yl, or

wherein both R<sup>3</sup> and R<sup>4</sup> are H; or

wherein both R<sup>3</sup> and R<sup>4</sup> are methyl or ethyl.

15

Additional exemplary compounds of formula 1  
include those wherein X is:

6-methyl-3,4,5-trihydroxypyran-2-yl,  
6-acetyl-3,4,5-trihydroxypyran-2-yl,  
20 6-propylamido-3,4,5-trihydroxypyran-2-yl,  
6-propylamido-2,3,4-trimethoxypyran-2-yl,  
6-ethyl-2,3-dihydroxy-4-methoxypyran-2-yl,  
6-N-ethylamino-2-hydroxy-3,4-ethoxypyran-2-  
yl,

25

3,4,5-tri-n-propyloxypyran-2-yl,

3,4,5-trihydroxypyran-2-yl,

2,3,4-trimethoxyfuran-2-yl,

2,3-dihydroxy-4-methoxyfuran-2-yl,

2-hydroxy-3,4-ethoxyfuran-2-yl,

30

3,4,5-tri-n-propyloxyfuran-2-yl, and

3,4,5-trihydroxyfuran-2-yl;

or wherein both R<sup>5</sup> and R<sup>6</sup> are H and all R<sup>1</sup> in

X are H or methyl;

or wherein X is 2,3,4-trihydroxybenzoyl.

35

Thus, particularly preferred compounds of  
formula 1 are those wherein all R<sup>1</sup> are H or methyl, Y  
is H, OH, OCH<sub>3</sub> or OAc; and/or X is -CH<sub>2</sub>(CHOH)<sub>3</sub>H, 3,4,5-  
trihydroxypyran-2-yl, 3,4,5-trihydroxy-6-methylpyran-

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2-yl, 3,4,5-trimethoxypyran-2-yl, 3,4,5-trimethoxy-6-methylpyran-2-yl, 3,4,5-trihydroxyfuran-2-yl, 3,4,5-trimethoxyfuran-2-yl, 2,3,4-trihydroxybenzoyl, or 2,3,4-trihydroxynaphthoyl; and/or one of R<sup>3</sup> and R<sup>4</sup> is H and the other is H or lower alkyl or phenyl; or wherein R<sup>3</sup> and R<sup>4</sup> taken together represent a 3,4,5-trihydroxypyran-2-yl derivative.

Most preferred of the compounds of formula 1 are those wherein all R<sup>1</sup> are H, R<sup>2</sup> is H, Y is H or OH, X is 3,4,5-trihydroxybenzoyl, -CH<sub>2</sub>(CHOH)<sub>3</sub>H, or a 3,4,5-trihydroxypyran-2-yl moiety.

For those compounds of formula 2 which represent intermediates preferred forms are those wherein the protecting groups represented by R<sup>7</sup> are benzyl or benzoyl, the protecting group represented by R<sup>8</sup> is trimethylsilylethyl or benzyl, and wherein Y<sup>1</sup> is H, OR<sup>7</sup> wherein R<sup>7</sup> is benzyl or benzoyl as set forth above, and where the free hydroxyl is at position 3 of the glucosyl moiety or positions 3 and 4 of the galactosyl moiety. An additional preferred protecting group for positions 3 and 4 of the galactosyl moiety is isopropylidene.

The following examples are intended to illustrate but not to limit the invention.

#### Preparation A

Preparation of 2-(Trimethylsilyl) ethyl 4-O-(3,4-O-iso-propylidene-β-D-galactopyranosyl)-β-D-glucopyranoside (6a)

To a solution of 5 wherein R<sup>8</sup> is SE, (14.8 g, 33.5 mmol) in 2,2-dimethoxypropane (270 mL) was added dry camphorsulfonic acid (300 mg). The mixture was stirred for 48 hr at room temperature. Triethylamine (2.3 mL) was added and the mixture was stirred for 15 min. The mixture was then concentrated to dryness and toluene was evaporated from the residue to remove

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traces of triethylamine. A solution of the crude product in 10:1 MeOH:H<sub>2</sub>O (350 mL) was boiled under reflux for 3 hr. The solvents were evaporated off, and the residue was flash chromatographed (chloroform:methanol, 19.5:0.5) to give the title compound 6a (14g, 86.7%),  $[\alpha]_D$  (Magnusson et al., J Org Chem (1988) 53:5629-5647) =  $[\alpha]_D + 6^\circ$ ; positive ion LSIMS:m/z 483.5 (M + H<sup>+</sup>), 505.4 (M + Na)<sup>+</sup>, 615.3 (M + Cs)<sup>+</sup>; negative ion, m.s.:481.4 (M - H<sup>+</sup>); 528.4 (M + NO<sub>2</sub>); 635.2 (M + m - NBA).

### Example 1

Preparation of 2-(Trimethylsilyl) ethyl 2,6-di-O-benzoyl-4-O-(2,6-di-O-benzoyl-3,4-O-isopropylidene-β-D-galactopyranosyl)-β-D-glucopyranoside (7a)

Compound 6a (13.2 g, 2.74 mmol) was dissolved in dry pyridine (200 mL), the solution was stirred, cooled to -45°C, and benzoyl chloride (14.0 mL, 119.5 mmol) was added dropwise. The reaction mixture was stirred at -45°C for further 4 hr, at which time t.l.c. (toluene:ethylacetate, 8.5:1.5) showed a single, major product and two minor components, only one of which (pentabenzoate) moved faster than the major component. The reaction mixture was then poured into ice-water, then extracted with dichloromethane, washed successively with sodium hydrogen carbonate solution and water, dried, and then evaporated. The residue was purified on a column of silica gel furnished an amorphous solid compound 7a (17.29, 66%) which crystallized from hot methanol, needles, <sup>1</sup>H NMR gives the predicted peaks.



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Example 2Preparation of 2-(Trimethylsilyl) ethyl 3-O-(2,3,4-tri-

5 O-benzyl-L-fucopyranosyl)-4-O-(2,6-di-O-benzoyl-β-D-  
galactopyranosyl)-2,6-di-O-benzoyl-β-D-glucopyranoside  
10(a) Intermediate 7a (3.4 g, 3.78 mmol) was dissolved in dry dichloromethane:DMF (5:1, 50 mL) and tetrabutylammonium bromide (260 mg), cupric bromide (1.6 g), and powdered 4-Å molecular sieves (2 g) were  
10 added. The reaction mixture was stirred at room temperature under argon for two hrs, methyl 2,3,4-tri-O-benzyl-1-thio-L-fucoside (2.2 g) was then added, and the mixture was stirred for 48 hr. Purification of the crude coupling product on a column of silica gel  
15 afforded 4.15 g (83.5%) of pure fully protected trisaccharide, <sup>1</sup>H NMR give the expected pattern positive ion LSIMS:1313.8 (M + 1)<sup>+</sup>, negative ion LSIMS:1361.2 (M + NO<sub>2</sub>), and 1466.3 (M + m - NBA).

The trisaccharide derivative was treated  
20 with aq. acetic acid 70% (30 mL) at 80° for ~4 hr at which time t.l.c. (toluene:EtOAc, 8:2) showed no starting material was left. The solvents were removed and toluene was evaporated from the residue to remove the acetic acid, and the residue was chromatographed  
25 on a column of silica gel to give 400 mg (69%) of the title compound 10a as an amorphous solid; <sup>1</sup>H NMR as expected.

Example 3

30 Preparation of 2-(Trimethylsilyl)ethyl 3-O-(2,3,4-tri-  
O-  
benzyl-α-L-fucopyranosyl)-4-O-(2,6-di-O-benzoyl-3-O-  
benzyl ethanoate-β-D-galactopyranosyl)-2,6-di-O-  
benzoyl-

35 β-D-glucopyranoside (12a)

A solution of compound 10a (150 mg) in methanol (10 mL) was heated for 30 min under reflux with dibutyl tin oxide (50 mg). The methanol was

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evaporated off and the residual solid was dried with a vacuum pump for ~1 hr. A solution of the solid in dry benzene contains MS 4 Å (100 mg), and tetrabutylammonium bromide (40 mg) was then stirred at room temperature for ~30 min and bromoacetic acid (benzyl ester) (0.1 mL) was added. The reaction mixture was heated at 90°C for ~1 hr, at which time t.l.c. (toluene:EtOAc, 8.5:1.5) showed complete conversion of the starting material into a product having higher mobility. Volatile materials were removed by evaporation under diminished pressure, and the residue was chromatographed on a column of silica gel to give 150 mg (~90%) of the title compound 12a as a syrup; <sup>1</sup>H NMR (CDCl<sub>3</sub>); similar to that of 10a except for an additional signal at δ 5.31 (q, 2 H<sub>1</sub> - OCH<sub>2</sub>-COOH).

#### Example 4

Preparation of 2-(Trimethylsilyl) ethyl 3-O-(α-L-fucopyranosyl)-4-O-(3-O-carboxymethyl-β-D-galactopyranosyl)-β-D-glucopyranoside (13a)

Compound 12a (50 mg) in methanol (10 mL) was treated with 10% palladium/charcoal catalyst (~100 mg), and the suspension was stirred overnight under hydrogen at 50 lb/sq. inch. The catalyst was removed, and the solution was treated with sodium methoxide (~30 mg) to remove the benzoyl groups. The solution was neutralized with Amberlite IR-120(H<sup>+</sup>) resin, and filtered. The resin was washed with 1:1 water:methanol, and the combined filtrate and washings were concentrated to a syrup that was chromatographed on a column of Sephadex LH-20 (15 g) with 3:7 water:methanol, to give the title compound 13a (18 mg, 82%) as an amorphous mass. <sup>1</sup>H NMR (D<sub>2</sub>O): as expected; negative ion LSIMS: 645.6 (M - H<sup>+</sup>).

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Example 5Preparation of Benzyl 2,6-di-O-benzoyl-4-O-(2,6-di-O-benzoyl-3,4-O-isopropylidene- $\beta$ -D-galactopyranosyl)- $\beta$ -D-glucopyranoside (7b)

5 A stirred and cooled ( $-45^{\circ}\text{C}$  bath) solution of compound 6b (5g, 10.6 mmol; D. Beith-Halahmi et al., Carbohydr Res (1967) 5:25) was treated with benzoyl chloride (6 mL, 51.8 mmol), dropwise, and the stirring was continued for 4h at  $-45^{\circ}\text{C}$ . Processing as  
10 described for 6a, followed by column chromatography and recrystallization from hot methanol, afforded 7b (5.53g, 59%), m.p.  $159-161^{\circ}$ ,  $[\alpha]_{\text{D}} - 4.2^{\circ}$  (c, 1.3, chloroform). The HNMR of this compound contained signals in support of the structure assigned.

15

Example 6Preparation of Benzyl O-(2,3,4-tri-O-benzyl- $\alpha$ -L-fucopyranosyl)-(1-3-[O-(2,6-di-O-benzoyl-3,4-O-isopropylidene- $\beta$ -D-galactopyranosyl)-(1-4)]-2,6-di-O-benzoyl- $\beta$ -D-glucopyranoside (9b)

20

A mixture of compound 7b (4g, 4.5 mmol), methyl 2,3,4-tri-O-benzyl-1-thio- $\alpha$ -L-fucopyranoside (3.6g, 7.75 mmol) and powdered 4 A molecular sieves (10g), in 5:1 dichloroethane-N,N-dimethylformamide  
25 (120 mL), protected from moisture, was stirred for 2h at room temperature. Cupric bromide (2g, 9 mmol), and tetrabutylammonium bromide (0.29g, 0.9 mmol) were added and the stirring was continued for 35h at room temperature. More of the donor thiofucoside (1.2g,  
30 2.6 mmol, in 14.4 mL of the solvent mixture), cupric bromide (0.67g, 0.3 mmol), and molecular sieves (2g) were added, and the stirring was continued for an additional 16h at room temperature. After customary processing, the mixture was purified in a column of  
35 silica gel using 5% ethyl acetate in toluene as the eluant. On concentration, the fractions corresponding to the product gave a solid residue, which crystallized from ether to afford 9b (3.68g, 76%,

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based on reacted 7b). Compound 7b (0.7g) was recovered unchanged. Compound 9b had m.p.180-181°,  $[\alpha]_D -8^\circ$  (c, 1.1, chloroform).

5

Example 7

Benzyl 2,6-di-O-benzoyl-3-O-(2,3,4-tri-O-benzyl- $\alpha$ -L-fucopyranosyl)-4-O-(2,6-di-O-benzoyl-3,4-O-isopropylidene

- $\beta$ -D-galactopyranosyl)- $\beta$ -D-glucopyranoside (14)

10

Compound 7b (2.15g, 2.39 mmol) was dissolved in 5:1 dichloroethane-N,N-dimethylformamide (48 mL) and stirred (2h) in the presence of molecular sieve 4A (2g), tetrabutyl ammonium bromide (0.175g, 0.54 mmol) and cupric bromide (0.975g, 4.36 mmol). A solution of methylthio-2,3,4-tri-O-benzylfucoside (8) (1.7g, 3.66 mmol) in dichloroethane (2.0 mL) was added into the well-stirred reaction mixture. Stirring was continued (for 2 days) when t.l.c. (20:1 toluene-acetone) indicated complete absence of the acceptor and a major product. The reaction mixture was filtered through Celite; flask and residue were washed with chloroform (2x5 ml) and the total filtrate was washed with aqueous NaHCO<sub>3</sub> and water. Drying (anhydrous MgSO<sub>4</sub>), filtration and concentration of the filtrate afforded a syrup which was charged as a solution in chloroform (3-5 mL), on a silica gel column and eluted with 800:10:1 toluene-acetone-MeOH. Fractions containing 14b were pooled and evaporated to afford pure 14b as a syrup (2.32g, 74%), m.p. (methanol) 180-181°C,  $[\alpha]_D = -0.8^\circ$ ;  $[\alpha]_{436} -1.12^\circ$  (c 1.9, CHCl<sub>3</sub>). NMR data: <sup>13</sup>C,  $\delta$ (CDCl<sub>3</sub>) 166.00-168.30 (4CO); 112.46 [ $\square$ (CH<sub>3</sub>)<sub>2</sub>], 102.25, 101.87 (c-1, glc and gal), 98.99 (C-1-Fuc).

25

30

35

Example 8

Benzyl 3-O-(2,3-tri-O-benzyl- $\alpha$ -L-fucopyranosyl)-4-O-(3,4-O-isopropylidene- $\beta$ -D-galactopyranosyl)- $\beta$ -D-glucopyranoside (15)

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Compound 14 (0.47g) was dissolved in dry methanol (50 mL) and a catalytic amount of sodium metal was added into it and deacylation was carried out at 45°C. At the end of the reaction (4h, t.l.c. 95:5 CH<sub>2</sub>Cl<sub>2</sub>-MeOH), the reaction mixture was neutralized with IR 120 (H<sup>+</sup>) at 5°C, filtered and evaporated to a syrup. This was purified by column chromatography (silica gel eluent). Pure product 15 (0.285g, 90%) was crystallized from ether-hexane, m.p. 122-124°C.

10 NMR data: (CHCl<sub>3</sub>): <sup>1</sup>H, δ 7.40-7.15 (m, 20H, arom.), 5.97 (d, J 2.7 Hz, 1H, H-1 Fuc), 4.95-4.5 (m, 10H, PhCH<sub>2</sub> X<sub>4</sub>, H-5 Fuc, H-1 hexopyranose), 4.38 (d, J 7.7 Hz, 1H, H-1 hexopyranose), 1.36, 1.30 (2s, 6H, (CH<sub>3</sub>)<sub>2</sub>C=), and 1.08 (d, J 6.5 Hz, 3H, CH<sub>3</sub>-Fuc); <sup>13</sup>C, δ 110.85 (C(CH<sub>3</sub>)<sub>2</sub>),

15 103.03, 101.75 (C-1, gal and glc), 98.02 (C-1 Fuc), 75.29, 73.26, 72.95, 72.57 (CH<sub>2</sub> C<sub>6</sub>H<sub>5</sub> x 4), 63.19, 60.63 (C6-hexopyranose), 28.85, 26.84 [(CH<sub>3</sub>)<sub>2</sub>] and 17.52 (CH<sub>3</sub>-Fuc).

20

Example 9

Benzyl 6-O-benzoyl-3-O-(2,3,4-tri-O-benzyl-α-L-fucopyranosyl)-4-O-(6-O-benzoyl-3,4-O-isopropylidene β-D-galactopyranosyl)-β-D-glucopyranoside (16)

Benzoyl chloride (220 μl, 1.29 mmol) was

25 added into a stirred solution of compound 15 (590 mg, 0.54 mmol) in pyridine (40 ml) maintained at -40°C. T.l.c. (4:1:0.1 toluene-acetone-MeOH) after 6 h indicated conversion to one major product. Chloroform (40 ml), followed by crushed-ice water (50 ml), was

30 introduced into the flask. The organic layer was separated, washed a second time with water, dried (anhydrous MgSO<sub>4</sub>), filtered and evaporated. Repeated coevaporation with toluene removed the pyridine. The crude material was purified by column chromatography

35 to give the name compound 16 (600 mg, 82%). NMR data (CDCl<sub>3</sub>): <sup>13</sup>C, δ 166.86, 166.62 (200), 139.25, 139.12, 137.99, 137.49 (4 C-1 phenyl), 111.20 [C(CH<sub>3</sub>)<sub>2</sub>], 101.62, 101.53, 101.36 (3C-1, gal, glc and Fuc),

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75.61, 79.65, 72.89, 71.34 (4 CH<sub>2</sub>-Ph), 64.00, 63.85 (2 C-6, gal, glc), 28.79, 26.89 [(CH<sub>3</sub>)<sub>2</sub>C] and 17.5 (CH<sub>3</sub>-Fuc).

5

Example 10

Benzyl 6-O-benzoyl-3-O-(2,3,4-tri-O-benzyl- $\alpha$ -L-fucopyranosyl)-4-O-(6-O-benzoyl- $\beta$ -D-galactopyranosyl)- $\beta$ -

D-glucopyranoside (17)

10

Compound 16 (600 mg) was dispersed in aqueous acetic acid (70%, 70 ml) and stirred while being heated at 80°C (oil bath). After 3 h, t.l.c. (4:1:0.1 toluene-acetone-methanol) indicated complete conversion into a product with lower mobility.

15

Toluene (50 ml) was added and the solvents evaporated off on a rotary evaporator. Repeated coevaporation with toluene removed all acetic acid and afforded compound 17 as a foam (500 mg, 86%), [ $\alpha$ ]<sub>D</sub> -41.6°; [ $\alpha$ ]<sub>436</sub> -82° ( $c$  2.6; CHCl<sub>3</sub>).

20

Example 11

Benzyl O-(Methyl 4,7,8,9-tetra-O-acetyl-5-acetamido-3,5-dideoxy-D-glycero- $\alpha$ -D-galacto-2-nonulopyranosonate)-(2-3)-O-(6-O-benzoyl- $\beta$ -D-galactopyranosyl)-(1-4)-[O-(2,3,4-tri-O-benzyl- $\alpha$ -L-fucopyranosyl)-(1-3)]-6-O-benzoyl- $\beta$ -D-glucopyranoside (18b)

25

Compound 17b (50 mg, 9.49 x 10<sup>-2</sup> mmol) and the sialic acid donor (19, 130 mg, 25 x 10<sup>-2</sup> mmol) was dissolved in acetonitrile (2.5 ml) and stirred (1h) in the presence of molecular sieve 4 Å (0.3 g). Silver triflate (237.5 mg, 0.9 mmol) was added and the stirring continued under argon for 2h. The reaction mixture was cooled (-45°C), and 80  $\mu$ l of a freshly prepared solution (990 mg/2.55 ml) of methyl sulphenyl bromide (Dasgupta, F. and Garegg, P.J. Carbohydr Res (1988) 177:C13) was introduced into the reaction flask. Reaction was terminated after 2h by the

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addition of a solution of triethylamine (0.5 ml) in chloroform (1.5 ml). The reaction mixture was filtered through celite, the filtrate washed with water, dried (MgSO<sub>4</sub>), filtered and evaporated. The residue (0.19 g) was chromatographed from a dry, packed silica gel (80 g) column using 4:1:0.1 (150 ml) and 5:1:0.1 toluene-acetone-methanol as the eluants. Fractions containing the product were evaporated to give the named compound 18b (34.5 mg, 48%), which crystallized from ether, m.p. 194-195°C,  $[\alpha]_D -25^\circ$ ,  $[\alpha]_{436} -49^\circ$  (c 1.0 chloroform). Elem. Anal. Calc. for C<sub>80</sub>H<sub>91</sub>NO<sub>29</sub>: %C 62.21; %H 5.99 and N 0.92. Found: %C 62.21; %H 6.23 and %N 0.91.

15

Example 12

O-(5-acetamido-3,5-dideoxy-D-glycero- $\alpha$ -D-galacto-2-nonulopyranosonic acid)-(2 $\rightarrow$ 3)-O-( $\beta$ -D-galactopyranosyl)-(1 $\rightarrow$ 4)-[O-( $\alpha$ -L-fucopyranosyl)-(1 $\rightarrow$ 3)]-D-glucopyranose (20)

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Compound 18 (32 mg) was dissolved in methanol (5 ml) and treated with catalytic NaOMe (6h). The solution was neutralized by stirring with IR120(H<sup>+</sup>) resins at 0-5°C. The solution was filtered, evaporated, and the residue dissolved in 1:1 10% aqueous MeOH-p-dioxane (5 ml). 0.2M Aqueous KOH (1 ml) was added at 0-5°C and hydrolysis of the carboxymethyl group was accomplished in 8h. The solution was neutralized using IR120(H<sup>+</sup>) resins at 0-5°C, filtered, and the filtrate evaporated to a syrup. This was dissolved in 10% aqueous MeOH (5 ml) and hydrogenated (1 atm.), in the presence of 5% Pd on carbon (100 mg). Filtration and evaporation of the filtrate afforded a syrup which was eluted through a Biogel P-2 column using water. The appropriate fractions were pooled and lyophilized to afford the named title tetrasaccharide 20 (12 mg),  $[\alpha]_D -23^\circ$ ,  $[\alpha]_{436} -46^\circ$  (c 0.6, H<sub>2</sub>O).

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Example 13Preparation of a Multivalent Ligand,N,6N,6N' Tris (20) Lys-Tyr-Lys

Compound 20, prepared in Example 12, was  
5 derivatized to the trisaccharide Lys-Tyr-Lys to obtain  
the trivalent conjugate derivatized at the two  $\epsilon$ -amino  
lysine groups and the  $\alpha$ -amino N-terminal of the  
peptide. To obtain this trivalent compound, 50  $\mu$ l of  
2 mM peptide Lys-Tyr-Lys (100 nmol) in 100 mM sodium  
10 carbonate, pH 9, were placed in a small Eppendorf tube  
containing 5  $\mu$ l of 200 mM 20 (1 mmol), and the sample  
was evaporated to dryness in a SpeedVac for about 30  
minutes.

After evaporation, 50  $\mu$ l of 800 mM NaCN·BH<sub>3</sub>  
15 (recrystallized, 40  $\mu$ mol) in 100 mM sodium carbonate,  
pH 9, was added and the mixture was incubated for 48  
hours at 55°C. The resulting incubated mixture was  
run on a GPC peptide HPLC sizing column and fractions  
were collected and assayed for protein content by BCA  
20 protein assay. Protein-containing fractions were  
pooled, lyophilized and submitted for mass  
spectroscopy.

The results showed the formation of the  
derivatized peptide as containing 1, 2 or 3 moieties  
25 of compound 20.

The trivalent derivative is especially  
effective in inhibiting the binding of lactose to  
hepatocytes in an assay conducted as described by Lee,  
R. et al., Biochem (1984) 23:4255.

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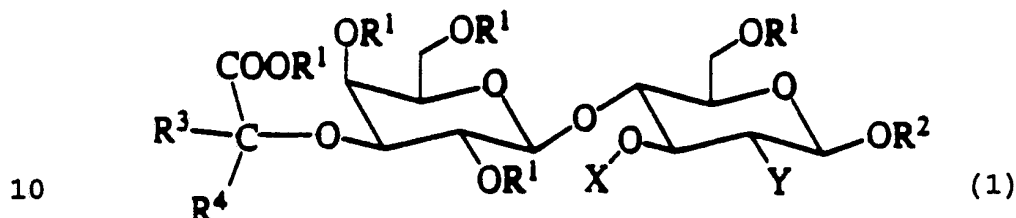


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Claims

1. A compound of the formula

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wherein each R¹ is independently H or lower alkyl (1-4C);

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R² is H, lower alkyl(1-4C), alkylaryl or one or more additional saccharide residues;

R³ and R⁴ are each independently H, alkyl(1-6C), aryl or R³ and R⁴, taken together, form a five- or six-membered ring optionally containing a heteroatom selected from the group consisting of O, S, and NR¹;

20

wherein said five- or six-membered ring may further be substituted by one or more substituents selected from the group consisting of (CHOR¹)<sub>m</sub>H, wherein m is 1-4, OR¹, OOCR¹, NR¹, NHCOR¹, and SR¹,

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Y is H, OR¹, OOCR¹, NR¹, NCOR¹ or SR¹; and

30

X is -CHR⁵(CHOR¹)<sub>2</sub>CHR⁶OR¹ wherein R⁵ and R⁶ are each independently H, lower alkyl(1-4C) optionally substituted with one or more F, or result in a five- or six-membered ring optionally containing a heteroatom selected from the group consisting of O, S, and NR¹;

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said five- or six-membered ring optionally substituted with one substituent selected from the group consisting of R¹, CH₂OR¹, OR¹, OOCR¹, NR¹, NHCOR¹, SR¹ and F;

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or X is benzoyl or naphthoyl having 1-3 hydroxyl substituents,

with the proviso that if X represents a hexose substituent  $R^3$  and  $R^4$ , taken together, cannot provide a hexose substituent.

2. The compound of claim 1 wherein all  $R^1$  are H.

3. The compound of claim 1 wherein  $R^2$  is H.

4. The compound of claim 1 wherein Y is H or OH.

5. The compound of claim 1 wherein X is  $-\text{CH}_2(\text{CHOH})_3\text{H}$ , 2,3,4-trihydroxybenzoyl, or is a 3,4,5-trihydroxy or 3,4,5-trimethoxypyran-2-yl or furan-2-yl.

6. The compound of claim 1 wherein one of  $R^3$  and  $R^4$  is H and the other is H, lower alkyl (1-4C), or phenyl.

7. The compound of claim 6 wherein said alkyl is methyl.

8. The compound of claim 6 wherein both  $R^3$  and  $R^4$  are H.

9. The compound of claim 1 wherein  $R^3$  and  $R^4$  taken together are 3,4,5-trihydroxy or 3,4,5-trimethoxypyran-2-yl or furan-2-yl.

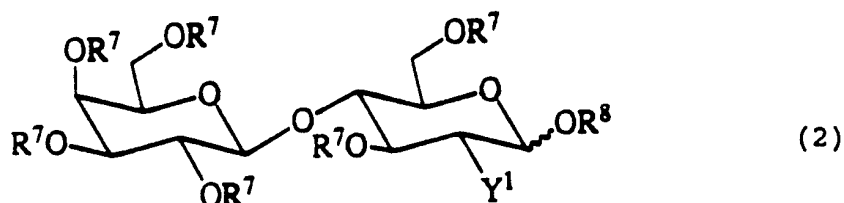
10. The compound of claim 1 wherein all  $R^1$  are H,  $R^2$  is H, both  $R^3$  and  $R^4$  are H, Y is H or OH, and X is a fucosyl residue.

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11. A method to synthesize lactose and lactosamine derivatives which method comprises contacting an intermediate of the formula

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wherein each  $R^7$  is independently H, lower alkyl (1-4C), or a protecting group; and  
 wherein  $Y^1$  is H,  $OR^7OOCR^7$ ,  $NR_2^7$ ,  $NCOR^7$  or  $SR^7$ ;  
 wherein at least one  $R^7$  which is at the position to be substituted, and at most one adjacent  $R^7$  is H and all other  $R^7$ 's are protecting groups; and  
 $R^8$  is a protecting group,  
 with an electrophile-donating moiety to obtain a product wherein the electrophile is substituted for the H of the OH at the position to be substituted.

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12. The method of claim 11 wherein the compound of formula 2 is of the formula selected from the group consisting of:  
 benzyl 6-O-benzoyl-3-O-(2,3,4-tri-O-benzyl- $\alpha$ -L-fucopyranosyl)-4-O-(6-O-benzoyl- $\beta$ -D-galactopyranosyl)- $\beta$ -D-glucopyranoside (17);  
 benzyl 6-O-benzoyl-3-O-(2,3,4-tri-O-benzyl-d-L-fucopyranosyl)-4-O-(6-O-benzoyl-3,4-O-isopropylidene- $\beta$ -D-galactopyranosyl)- $\beta$ -D-glucopyranoside (16);  
 benzyl 3-O-(2,3,4-tri-O-benzyl- $\alpha$ -L-fucopyranosyl)-4-O-(3,4-O-isopropylidene- $\beta$ -D-galactopyranosyl)- $\beta$ -D-glucopyranoside (15);

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benzyl 2,6-di-O-benzoyl-3-O-(2,3,4-tri-O-benzyl- $\alpha$ -L-fucopyranosyl)-4-O-(2,6-di-O-benzoyl-3,4-O-isopropylidene- $\beta$ -D-galactopyranosyl)- $\beta$ -D-glucopyranoside (14);

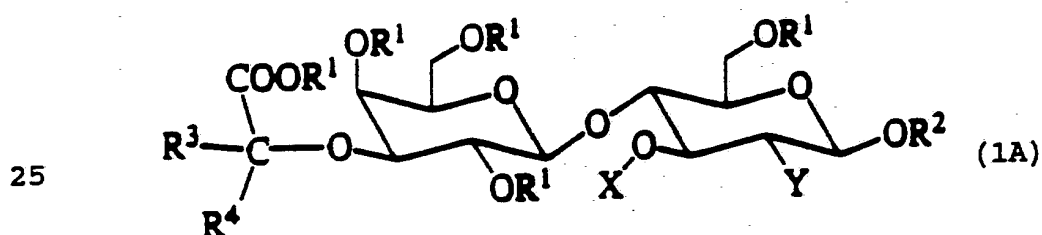
5 benzyl 2,6-di-O-benzoyl-4-O-(2,6-di-O-benzoyl-3,4-O-isopropylidene- $\beta$ -D-galactopyranosyl)- $\beta$ -D-glucopyranoside (7);

2-(Trimethylsilyl) ethyl 3-O-(2,3,4-tri-O-benzyl-L-fucopyranosyl)-4-O-(2,6-di-O-benzoyl- $\beta$ -D-galactopyranosyl)-2,6-di-O-benzoyl- $\beta$ -D-glucopyranoside (10a); and

10 benzyl O-(2,3,4-tri-O-benzyl- $\alpha$ -L-fucopyranosyl)-(1-3-[O-(2,6-di-O-benzoyl-3,4-O-isopropylidene- $\beta$ -D-galactopyranosyl)-(1-4)]-2,6-di-O-benzoyl- $\beta$ -D-glucopyranoside (9b).

13. A conjugate consisting essentially of at least one residue of a compound of the formula 1A

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30 wherein each  $R^1$  is independently H or lower alkyl (1-4C);

$R^2$  is H, lower alkyl(1-4C), alkylaryl or one or more additional saccharide residues;

35  $R^3$  and  $R^4$  are each independently H, alkyl(1-6C), aryl or  $R^3$  and  $R^4$ , taken together, form a five- or six-membered ring optionally containing a heteroatom selected from the group consisting of O, S, and  $NR^1$ ;

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wherein said five- or six-membered ring may further be substituted by one or more substituents selected from the group consisting of  $(\text{CHOR}^1)_m\text{H}$ , wherein m is 1-4,  $\text{OR}^1$ ,  $\text{OOCR}^1$ ,  $\text{NR}_2^1$ ,  $\text{NHCOR}^1$ , and  $\text{SR}^1$ ,

5 Y is H,  $\text{OR}^1$ ,  $\text{OOCR}^1$ ,  $\text{NR}_2^1$ ,  $\text{NCOR}^1$  or  $\text{SR}^1$ ; and

X is  $-\text{CHR}^5(\text{CHOR}^1)_2\text{CHR}^6\text{OR}^1$  wherein  $\text{R}^5$  and  $\text{R}^6$  are each independently H, lower alkyl(1-4C) optionally substituted with one or more F, or result in a five- or six-membered ring optionally containing a  
10 heteroatom selected from the group consisting of O, S, and  $\text{NR}^1$ ;

said five- or six-membered ring optionally substituted with one substituent selected from the group consisting of  $\text{R}^1$ ,  $\text{CH}_2\text{OR}^1$ ,  $\text{OR}^1$ ,  $\text{OOCR}^1$ ,  $\text{NR}_2^1$ ,  $\text{NHCOR}^1$ ,  
15  $\text{SR}^1$  and F;

or X is benzoyl or naphthoyl having 1-3 hydroxyl substituents,  
coupled to a carrier moiety.

20 14. The conjugate of claim 13 wherein the carrier moiety is a peptide.

15 15. The conjugate of claim 14 wherein said peptide is of the formula Lys-Tyr-Lys.

16. The conjugate of claim 13 which contains at least two residues of the compound of Formula 1A.

30 17. The conjugate of claim 13 which contains at least three residues of the compound of formula 1A.

35 18. The conjugate of claim 13 wherein the compound of formula 1A is O-(5-acetamido-3,5-dideoxy-D-glycero- $\alpha$ -D-galacto-2-nonulopyranosonic acid)-(2 $\rightarrow$ 3)-O-( $\beta$ -D-galactopyranosyl)-(1 $\rightarrow$ 4)-[O-( $\alpha$ -L-fucopyranosyl)-(1 $\rightarrow$ 3)]-D-glucopyranose.

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19. A pharmaceutical composition comprising  
said compound of claim 1.

20. A pharmaceutical composition comprising  
5 said compound of claim 13.

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## INTERNATIONAL SEARCH REPORT

 International application No.  
 PCT/US92/09870
**A. CLASSIFICATION OF SUBJECT MATTER**IPC(5) : **A61K 31/725, 47/42, 39/385; C07H 5/06; C08B 37/00**US CL : **514/2, 8, 25, 53, 54; 536/4.1, 18.7, 53, 55, 55.3**

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/2, 8, 25, 53, 54; 536/4.1, 18.7, 53, 55, 55.3

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	JOURNAL OF CLINICAL INVESTIGATION, Vol. 74, issued December 1984, S.Ladisch et al, "Modulation of the Immune Response by Gangliosides," pages 2074-2081.	1-20
A	EUROPEAN JOURNAL OF IMMUNOLOGY, Vol. 16, issued 1986, J.Cavaillon et al, "Inhibition of Lipopolysaccharide-induced Monocyte Interleukin 1 Secretion by Gangliosides," pages 1009-1012.	1-20
Y	CARBOHYDRATE RESEARCH, Vol. 136, issued 1985, D.P.Khare et al, "The Synthesis of Monodeoxy Derivatives of Lacto-N-Biose 1 and N-acetyl-Lactosamine to serve as Substrates for the Differentiation of alpha-L-Fucosyl Transferases," pages 285-308, entire document.	1-12
Y	THE JOURNAL OF BIOLOGICAL CHEMISTRY, Vol. 264, no. 16, issued 05 June 1989, I.Eggens et al, "Specific Interaction between Le x and Le x Determinants," pages 9476-9484, entire document.	1-10

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be part of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Z" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 07 January 1993	Date of mailing of the international search report 25 JAN 1993
Name and mailing address of the ISA/ Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. NOT APPLICABLE	Authorized officer NANCY S. CARSON Telephone No. (703) 308-0196

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US92/09870

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	DEVELOPMENTAL BIOLOGY, Vol. 104, issued 1984, J.M.Bird et al, "Oligosaccharides Containing Fucose Linked alpha(1-3) and alpha (1-4) to N-Acetylglucosamine Cause Decompaction of Mouse Morulae," pages 449-460, entire document.	1-10
Y	CARBOHYDRATE RESEARCH, Vol. 177, issued 1988, F.Dasgupta et al, "Alkyl Sulfenyl Triflate as Activator in the Thioglycoside-mediated Formation of beta-Glycosidic Linkages During Oligosaccharide Synthesis," pages c13-c17, entire document.	1-12
Y	THE JOURNAL OF EXPERIMENTAL MEDICINE, Vol 160, issued November 1984, B.A.Fenderson et al, "A Multivalent Lacto-N-Fucopentaose III-Lysyllysine Conjugate Decompacts Preimplantation Mouse Embryos, While the Free Oligosaccharide is Ineffective," pages 1591-1596, entire document.	1-10 and 13-20
Y	CARBOHYDRATE RESEARCH, Vol. 155, issued 1986, S.Sato et al, "An Efficient Approach to O-Glycosides Through CuBr <sub>2</sub> -Bu <sub>4</sub> NBr Mediated Activation of Glycosides," pages c6-c10, entire document.	1-12
Y	NATURE, Vol. 292, issued 09 July 1981, H.C.Gooi et al, "Stage-Specific Embryonic nitrogen Involves alpha1-3 Fucosylated Type 2 Blood Group Chains," pages 156-158, entire document.	1-20
Y	DEVELOPMENTAL BIOLOGY, Vol. 122, issued 1987, B.A.Fenderson et al, "Glycolipid Core Structure Switching from Globo- to Lacto- and Ganglio- Series during Retinoic Acid-Induced Differentiation of TERA-2 Derived Human Embryonal Carcinoma Cells," pages 21-34, entire document.	1-10 and 13-20
Y	DIFFERENTIATION, Vol. 38, issued 1988, B.A.Fenderson et al, "The Blood Group I Antigen Defined by Monoclonal Antibody C6 is a Marker of Early Mesoderm During Murine Embryogenesis," pages 124-133, entire document.	1-10
A	THE JOURNAL OF BIOLOGICAL CHEMISTRY, Vol. 264, no. 34, issued 06 December 1989, N.Kojima et al, "Specific Interaction between Gangliotriaosylceramide (Gg3) and Sialosylactosylceramide (GM3) as a Basis for Specific Cellular Recognition Between Lymphoma and Melanoma Cells," pages 20159-20162.	1-10